UNITED STATES DEPARTMENT OF COMMERCE United States Patent and Trademark Office Address: COMMISSIONER FOR PATENTS P.O. Box 1450 Alexandria, Virginia 22313-1450 www.uspio.gov

APPLICATION NO.	FILING DATE	FIRST NAMED INVENTOR	ATTORNEY DOCKET NO.	CONFIRMATION NO.
10/646,685	08/25/2003	Thomas J. Kelly	08350.3304-04	9970
58982 7590 05/16/2008 CATERPILLAR/FINNEGAN, HENDERSON, L.L.P. 901 New York Avenue, NW WASHINGTON, DC 20001-4413			EXAMINER	
			BROADHEAD, BRIAN J	
			ART UNIT	PAPER NUMBER
			3664	
			MAIL DATE	DELIVERY MODE
			05/16/2008	PAPER

Please find below and/or attached an Office communication concerning this application or proceeding.

The time period for reply, if any, is set in the attached communication.

#### UNITED STATES PATENT AND TRADEMARK OFFICE



Commissioner for Patents United States Patent and Trademark Office P.O. Box 1450 Alexandria, VA 22313-1450 www.usplo.gov

# BEFORE THE BOARD OF PATENT APPEALS AND INTERFERENCES

Application Number: 10/646,685 Filing Date: August 25, 2003 Appellant(s): KELLY ET AL.

Phillip J. Hoffmann For Appellant

**EXAMINER'S ANSWER** 

This is in response to the appeal brief filed 1-30-08 appealing from the Office action mailed 2-6-07.

Art Unit: 3664

#### (1) Real Party in Interest

A statement identifying by name the real party in interest is contained in the brief.

# (2) Related Appeals and Interferences

The examiner is not aware of any related appeals, interferences, or judicial proceedings which will directly affect or be directly affected by or have a bearing on the Board's decision in the pending appeal.

# (3) Status of Claims

The statement of the status of claims contained in the brief is correct.

# (4) Status of Amendments After Final

The appellant's statement of the status of amendments after final rejection contained in the brief is correct.

#### (5) Summary of Claimed Subject Matter

The summary of claimed subject matter contained in the brief is correct.

#### (6) Grounds of Rejection to be Reviewed on Appeal

The appellant's statement of the grounds of rejection to be reviewed on appeal is correct.

#### (7) Claims Appendix

The copy of the appealed claims contained in the Appendix to the brief is correct.

#### (8) Evidence Relied Upon

6,728,603 PRUZAN ET AL 4-2004

Art Unit: 3664

6,865,460 BRAY ET AL 3-2005

20030014521 ELSON ET AL 1-2003

20040225740 KLEMBA ET AL 11-2004

# (9) Grounds of Rejection

The following ground(s) of rejection are applicable to the appealed claims:

The following is a quotation of the first paragraph of 35 U.S.C. 112:

The specification shall contain a written description of the invention, and of the manner and process of making and using it, in such full, clear, concise, and exact terms as to enable any person skilled in the art to which it pertains, or with which it is most nearly connected, to make and use the same and shall set forth the best mode contemplated by the inventor of carrying out his invention.

Claims 30 and 31 are rejected under 35 U.S.C. 112, first paragraph, as failing to comply with the written description requirement. The claim(s) contains subject matter which was not described in the specification in such a way as to reasonably convey to one skilled in the relevant art that the inventor(s), at the time the application was filed, had possession of the claimed invention. The claims include limitations that a first work machine includes a first gateway and a first destination module and that when the first gateway can process the first message, it performs functions similar to those of the first destination module using data included in the first message. There is no support for the first module being present and the first gateway intercepting the first message. The specification consistently discloses the invention as replacing the modules and it never discloses that the proxy logic and destination modules that the proxy logic represents are present at the same time. This also applies to the second proxy logic and the second destination module.

Art Unit: 3664

The following is a quotation of 35 U.S.C. 103(a) which forms the basis for all obviousness rejections set forth in this Office action:

(a) A patent may not be obtained though the invention is not identically disclosed or described as set forth in section 102 of this title, if the differences between the subject matter sought to be patented and the prior art are such that the subject matter as a whole would have been obvious at the time the invention was made to a person having ordinary skill in the art to which said subject matter pertains. Patentability shall not be negatived by the manner in which the invention was made.

Claims 1-6, 8, 9, 10, 11, 12-25, 27-29, and 32-35 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pruzan et al., 6728603, in view of Bray et al., 6865460.

As per claims 1, 2, 3, 4, 8, 10, 11, 12, 13, 14, 15, 16, 19, 20, 21, 22, 23, 28, 29, 32, 33, 34, and 35, Pruzan et al. disclose detecting a first message sent by a source module on a first data link, wherein the first message is directed to a destination module and includes an address identifier corresponding to the destination module lines 36-34, on column 3, lines 1-4, on column 7, lines 16-20, on column 8, and lines 24-27 on column 1; retrieving the first message and extracting the destination address identifier from the message on line 48, on column 6, through line 5, on column 7, and on line 52, on column 7 through line 26, on column 8; routing, based on the destination address and an address map including proxy logic identifiers, the first message to an element that performs functions associated with the destination module on lines 54-55, on column 7, and lines 10-23, on column 9; detecting a first message sent by a source module on a proprietary data link on lines 42-43, on column 4; providing the first message from the proxy logic element to a second module over a second data link interfaced by the proxy logic element on lines 5-10, on column 7; receiving a second message responsive to the first message from the second module via the second data

Art Unit: 3664

link and routing, using an address map, the second message to the first module over the first data on lines 11-17, on column 14, these limitations are functional language that Pruzan et al. is capable of performing; and that the gateway can perform the functions of a node (module) on lines 10-15, on column 9; a source module for broadcasting a first message over a first data link that uses a first protocol, wherein the first message is intended for a destination module and includes a destination address identifier associated with the destination module on line 36-40, on column 3, lines 1-4, on column 7, lines 16-20, on column 8, and lines 24-27, on column 1; a gateway coupled to the first data link configures to monitor the first data link for messages on line 48, on column 6, through line 5 on column 7, route the intercepted message based on information in an address map on lines 54-55, on column 7, and lines 10-23, on column 9; detecting a first message sent by a source module on a proprietary data link on lines 42-43, on column 4; providing the first message or information responsive to the first message from the proxy logic element to a second module over a second data link(32) interfaced by the proxy logic element on lines 5-10, on column 7, and lines 8-26, on column 8; receiving a second message responsive to the first message from the second module via the second data link and routing, using an address map, the second message to the first module over the first data on lines 11-17, on column 14, these limitations are functional language that Pruzan et al. is capable of performing. The address map is inherent in Pruzan et al. because they disclose the that protocol converter examines any messages it receives to determine what address it is directed towards and then transmits the message to the appropriate bus or to the wireless device. Since the

protocol converter analyzes the message and then directs the message to the correct place it must be relying on an address map. There must be some stored information in the protocol converter that keeps track of addresses associated with the different busses and the wireless device.

Pruzan does not disclose that the element that performs functions associated with the destination module is a proxy logic element; intercepting the first message from the first data link based on a determination that the destination address corresponds to proxy logic included in the gateway and routing the message to the proxy logic that performs functions associated with the destination module based on data included in the intercepted module on lines 23-28, on column 4.

Bray et al. teach the element that performs functions associated with the destination module is a proxy logic element, intercepting the first message from the first data link based on a determination that the destination address corresponds to proxy logic included in the gateway and routing the message to the proxy logic that performs functions associated with the destination module based on data included in the intercepted module on lines 23-28, on column 4.

It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the software objects of Bray et al. in the invention of Pruzan et al. because such modification allows simpler hardware that is easily upgradeable as stated on lines 58, on column 8, through line 15, on column 9, of Bray et al.

As per claims 5, 6, Pruzan et al. disclose detecting that the first data link is incompatible with the second data link and translating the second message into a

comparable message consistent with the first data link on lines 39-43, on column 6; generating, by the proxy logic element, a second message that is responsive to the first message and routing the second message to the source module via the first data link on lines 15-20, on column 1, this limitation is functional language that Pruzan is capable of performing.

As per claim 9, Pruzan et al. disclose the source nodes include at least one of either an on-board module and an off-board module (item 22).

As per claim 18, 25, Pruzan et al. disclose translating the second message into a comparable message consistent with the first data link on lines 39-43 and 48-60, on column 6.

As per claim 17, 24 Pruzan et al. disclose the second data link is a non-propriety standard data link including one of J1930, CAN, MODBUS, serial standard data link, and Ethernet on lines on lines 42-43, on column 4. The second link can also be viewed as one of the other protocols on bus 24 of Pruzan et al.

As per claims 27, Pruzan et al. discloses the limitations above; a master controller remotely located with respect to the work machine and couple to the work machine via a wireless data link (40); the gateway routes the intercepted message, based on information in an address map, to proxy logic located in the gateway that performs function associated with the master controller on lines 8-26, on column 8, and lines 22-30, on column 9.

Art Unit: 3664

Claim 38 is rejected under 35 U.S.C. 103(a) as being unpatentable over Pruzan et al., 6728603, in view of Bray et al., 6865460 as applied to claims 1-6, 8, 9, 10, 11, 12-25, 27-29, and 32-35 above, and further in view of Elson et al., 2003/0014521.

Pruzan et al. and Bray et al. disclose the limitations as set forth above. They do not disclose retrieving by the gateway a proxy logic element from a remote location. Elson et al. teach retrieving by the gateway a proxy logic element from a remote location in paragraph 96. It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the retrieving of Elson et al. in the invention of Pruzan et al. and Bray et al. because such modification would enable the gateway to respond to evolving customer requirements as stated in paragraph 31 of Elson et al.

Claims 30, 31, 36 and 37 are rejected under 35 U.S.C. 103(a) as being unpatentable over Pruzan et al., 6728603, in view of Klemba et al., US2004/0225740A1.

Pruzan et al. disclose the limitations as set forth above. They do not disclose routing the message from a first gateway in a first machine to a second gateway in a second machine when the first gateway is unable to process the message; the second gateway routing the message from the second gateway when the second gateway is unable to process the message. Klemba et al. teaches routing the message from a first gateway in a first machine to a second gateway in a second machine when the first gateway is unable to process the message and the second gateway routing the message from the second gateway when the second gateway is unable to process the message in paragraph 73. Klemba et al. teaches an adhoc network between vehicles

Art Unit: 3664

that rely on routing table. If the message isn't addressed to a service point (first gateway) it gets passed along to other service points (second gateway) since the message can't be processed unless it is at the correct service point. It would have been obvious to one of ordinary skill in the art at the time the invention was made to use the network of Klemba et al. with the invention of Pruzan et al. because such modification would expand the range of the network as disclosed by Klemba et al.

### (10) Response to Argument

#### Claims 30 and 31

The first grounds of rejection are claims 30 and 31, rejected under 35 USC 112, first paragraph. Appellant's argument are not convincing because they fail to provide a showing of the first module being present when the first gateway intercepts the first message. The entire specification repeatedly states that the gateway is a replacement for a module, not something provided for to provide some other function such as redundancy. There are many places in the specification to support this. First the technical background in paragraph 6 speaks of multiple physical devices frustrating performance and being costly and time consuming. In paragraph 42, the idea of a virtual control module is repeatedly stated and states "a single gateway may therefor **replace** a plurality of distinct control modules in a given work machine." Paragraph 44, the second to last sentence, states "one or more **replaced** control modules." In paragraph 46, the first sentence refers to replaced modules. In short, there is nothing in the originally filed specification to suggest that the module that is represented by the proxy logic still remains in the vehicle.

Art Unit: 3664

#### Claims 1-6, 8-25, 27-29, and 32-35

Appellant's argument contends that the references fails to disclose "routing, based on the destination address and an address map including proxy logic identifiers, the first message to a proxy logic element in the gateway that performs functions associated with the destination module based on data included in the first message." Pruzan discloses a protocol converter that controls communication over between several networked devices that can be on one bus or several busses. On lines 5-39, on column 4, Pruzan discusses how the protocol converted controls which messages get sent where. This includes looking at the origination of the message, the destination address of the message, the contents of the message, etc. All of these criteria can be interpreted as an address map, especially, the destination address. This is further disclosed in lines 1-10, and lines 57-67, on column 7, and lines 8-28, on column 8. Pruzan also discloses that computer 70, may be operable to emulate the functions of a node on bus 24 (see lines 10-23, on column 9). A node on the bus would correspond to one of the devices like the engine controller, brake controller, etc. This emulation corresponds to the proxy logic of the current invention. The computer 70 has taken over the functions one of the vehicle controllers. Pruzan also discloses in this section that the computer 70 also can claim the addresses on the bus associated with the nodes and implement the filtering requirements. This would include now intercepting any messages that were once meant for the node that is being emulated so that the computer (70) can provide the node functions as disclosed in Pruzan. Considering all of the above it is clear that Pruzan does disclose "routing, based on the destination

Art Unit: 3664

address and an address map including proxy logic identifiers, the first message to a proxy logic element in the gateway that performs functions associated with the destination module based on data included in the first message" since we know that Pruzan's computer (70) seized bus addresses and performs that functions that were once done by a node (destination module). The address of the node has now become a proxy logic identifier by virtue of the node being emulated by the computer (70). Any message sent to the node will now be directed to the computer (70) or, in the terminology of the current invention, proxy logic.

Since Pruzan only disclose the nodes as being "emulated", to remove any doubt a secondary reference was cited to show that emulation via proxy logic is known in the art. Bray et al. teaches interface modules 28 (IM) that can either be virtual devices or actual physical devices (see lines 23-28, on column 4). Bray also discloses that the control processor (14) routes the data between the IMs. Bray also describes many advantages (motivation) of using such a system on lines 1-15, on column 9.

#### Claims 32 and 34

Appellants argument again center on how messages are routed between proxy logic. As Pruzan discloses, the computer (70) is able to emulate any node on the bus and take over the functions of the node. This would include handling the communications of the node. Pruzan is capable of emulating more than one node at a time. If this is the case, then the computer (70) will answer all the bus traffic for the node that is being emulated and perform whatever function the node would normally perform. So if the computer (70) is now emulating the engine and transmission

controller, any messages that were before being routed to the physical nodes of the transmission controller and the engine controller would instead be intercepted and acted upon by the computer (70). Otherwise, the vehicle would fail to function correctly. We already know from Pruzan et al. that these controllers are constantly exchanging messages (see lines 21-23, on column 1). Now the emulated nodes would be exchanging information. Bray also discloses the IMs exchanging messages with each other through the control processor (14) in much the same way.

#### Claims 30 and 36

The argument with respect to claims 30 and 36 comes down to what definition to give to "unable to process the message". Appellant fails to recognize that using the broadest reasonable interpretation of the claim language the routing of Klemba does read on the current invention. In Klemba, if a message is not addressed to a current machine, the message is passed on. This can be interpreted as being unable to process the message. In other words, if the message isn't meant for the machine, the machine is unable to process it so the machine passes the message along. Using this interpretation the cited art reads on the claims.

#### Claim 38

The arguments for this claim rely on previous arguments and should be upheld for the same reasons.

# (11) Related Proceeding(s) Appendix

No decision rendered by a court or the Board is identified by the examiner in the Related Appeals and Interferences section of this examiner's answer.

Art Unit: 3664

For the above reasons, it is believed that the rejections should be sustained.

Respectfully submitted,

Brian J. Broadhead /BJB/

Conferees:

Meredith C. Petravick /mcp/

Khoi H. Tran /KHT/